

**SAXON-HUTNER THEOREM** – A theorem concerning energy gaps in one-dimensional random alloy models described by the **Schrödinger equation** or the **Dirac equation**. It states that forbidden energies that are common to a pure crystal  $A$  and a pure crystal  $B$  (with the same lattice constant) will always be forbidden energies in any arrangement of the atoms of  $A$  and  $B$  in a substitutional solid solution.

Making use of the one-to-one correspondence between the real localized atomic potentials and  $(2 \times 2)$ -transfer matrices belonging to any of the isomorphic three-dimensional Lie groups  $SU(1, 1)$ ,  $SL(2, \mathbf{R})$  or  $Sp(2, \mathbf{R})$ , this can be described in mathematical terms as follows. If  $A^{r_1} B^{s_1} \dots A^{r_k} B^{s_k}$  is an arbitrary linear chain consisting of two types of atoms  $A$  and  $B$ , each having representatives  $r_i, s_i \in \mathbf{Z}^+$  in the  $i$ th period. Then the group nature of the individual transfer matrices  $M_A$  and  $M_B$  makes it possible to express the total transfer matrix  $M$  of the elementary cell as the product  $M_B^{s_k} M_A^{r_k} \dots M_B^{s_1} M_A^{r_1}$ , and the forbidden energies for electrons propagating there are given by the condition  $|\text{tr}(M)| > 2$ .

In the transfer-matrix approach, the theorem takes the form of the following question: Given that

$$|\text{tr}(M_A)|, |\text{tr}(M_B)| > 2,$$

is it true that for any arrangement  $A^{r_1} B^{s_1} \dots A^{r_k} B^{s_k}$  of atoms of type  $A$  and  $B$  one has

$$|\text{tr}(M_B^{s_k} M_A^{r_k} \dots M_B^{s_1} M_A^{r_1})| > 2?$$

Relying on quite different techniques, several non-equivalent sufficient conditions guaranteeing its validity have been derived.

## References

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